



**Australian Government**

**Department of the Prime Minister and Cabinet**  
Office of Best Practice Regulation

Reference: 20851

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Mr Gerard Fitzpatrick  
Senior Executive Leader  
Investment Managers and Superannuation  
Australian Securities and Investment Commission

Dear Mr Fitzpatrick

**Regulation Impact Statement - Second-Pass Final Assessment – Risk Management System of Responsible Entities**

Thank you for submitting a Regulation Impact Statement (RIS) to the Office of Best Practice Regulation (OBPR) examining the above proposal for second-pass final assessment on 14 March 2017.

The OBPR's final assessment is that the Australian Securities and Investment Commission is compliant with the Government's requirements and the RIS is consistent with best practice. The RIS may now be provided to the decision maker to inform a final decision.

We would appreciate you advising us when a final decision on this proposal has been announced and forwarding us a copy of the RIS in a form meeting the Government's accessibility requirements. The OBPR's reference number for this matter is 20851. If you have any further queries, please do not hesitate to contact us.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Wayne Poels'.

Wayne Poels  
Deputy Executive Director  
20 March 2017