#### Australian Government Regulation Impact Statement status - by agency: 2013-14

The Office of Best Practice Regulation (OBPR) is required to publish Regulation Impact Statements (RISs) on its website as soon as practicable from the date of regulatory announcements, in consultation with the relevant agency. Consequently, the RIS status in aggregate and by agency is also reported 'live' in the following tables.

The Australian Government introduced a new Regulatory Impact Analysis (RIA) process in March 2014. During 2013-14, there were also RISs assessed under the June 2010 and the July 2013 RIA processes, and some of these have been published after March 2014.

Reflecting these transitional arrangements, the summary compliance reporting table presented below provides information on all RISs published on the OBPR website during the 2013-14 financial year.

## RIS compliance, 2009-10 to 2013-14

Stage	2009–10 ratio	%	2010-11 ratio	%	2011-12 ratio	%	2012-13 ratio	%	2013-14 <sup>a</sup> ratio	%
Decision-making stage	63/75	84	47/63	75	69/78	88	64/66	97	47/48	98
Transparency stage <sup>b</sup>	59/74	80	45/63	71	70/78	90	62/66	94	47/48	98
Exceptional circumstances	4		14		5		8		1	

a Subject to finalisation.

**b** The number of RISs required at the transparency stage can be lower than at the decision making stage because some regulations were subject to multiple decision making processes. For example, treaties may require multiple RISs to support various decisions taken during the treaty process.

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Attorney-General's Department<sup>a</sup>

Attorney-General's Department				
Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Reforms to the native title system <sup>b</sup>				
Provides for the establishment of an entity based on the concept of the Indigenous Community Development Corporation and development of appropriate governance arrangements for land related payments.	n/a	Yes <sup>c</sup>	Yes	No
Amendments to the Personal Property Securities Act	2009			
Changes to requirements for leases of less than 12 months.	n/a	Yes	Yes	No
Cost Recovery for the Marriage Celebrants Programm	е			
Introduction of cost recovery charges for Commonwealth-registered marriage celebrants.	n/a	Yes	Yes	No
n/a An options-stage RIS was not required.				

#### **Attorney-General's Department**<sup>a</sup>

Title of regulatory proposal  Description of regulatory proposal	RIS status
Ban the importation of substances which mimic the e	ffects of illicit drugs.
Make new psychoactive substances a prohibited import to prevent the importation of potentially harmful psychoactive substances into Australia.	Published for consultation
The RIS was prepared for consultation and was subject to ar	n early assessment by the OBPR.
PIR required	TBA

For decisions made under the new March 2014 RIS process.

## **Australian Accounting Standards Board**

Title of regulatory proposal  Description of regulatory proposal	RIS status
Replacement accounting standard for superannuation en	ntities
Replaces existing accounting standard for superannuation entities	Finalised, published and compliant
The OBPR has found the Australian Accounting Standards B was not consistent with best practice because it did not asse information would have provided greater transparency to stal	ss the impacts on stakeholders' financial statements. This
PIR required	No

For decisions made under the July 2013 RIS process.

This matter was previously reported under the Department of Families, Housing, Community Services and Indigenous Affairs. However, on 3 October 2013 Native title matters were transferred to the Attorney-General's Department. b

The agency has assessed that an independent review or other mechanism has undertaken analysis of a regulatory proposal equivalent to a RIS.

## Australian Communications and Media Authority<sup>a</sup>

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Title of regulatory proposal			
Description of regulatory proposal	RIS adequate	RIS published	PIR required
Consideration of options to address the impact on staker annual numbering charge on four types of short-digit acc			
Aims to encourage competition and innovation in the market.	Yes	Yes	No
International Mobile Roaming Industry Standard			
The Standard contains measures aimed at reducing 'Bill Shock' arising from the use of International Mobile Roaming services.	Yes	Yes	No
Proposed Changes to the Regulation of Cellular Mobile R	epeaters		
Options to restrict the supply of repeaters and prevent their unauthorised use.	Yes	Yes	No

For decisions made under the June 2010 RIS process.

#### **Australian Fisheries Management Authority**<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required

# Managing Interactions with Dolphins in the Gillnet Hook and Trap Sector of the Southern and Eastern Scalefish and Shark Fishery

Aims to protect dolphins.	n/a	Yes	Yes	No

n/a An options-stage RIS was not required.

#### Australian Prudential Regulation Authority<sup>a</sup>

ΑL	istralian Prudential Regulation Authority				
Ti	itle of regulatory proposal				
	Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Н	armonising cross-industry risk management require	ments			
	Implements harmonised risk-management frameworks for prudentially-regulated entities, including ADIs, general and life insurers, and regulated groups.	n/a	Yes	Yes	No
In	nplementing Basel III liquidity reforms in Australia				
	Implements reporting and liquidity governance requirements for Authorised Deposit-taking Institutions (ADIs) in Australia	n/a	Yes	Yes	Yes

n/a An options-stage RIS was not required.

a For decisions made under the July 2013 RIS process.

a For decisions made under the July 2013 RIS process.

# Australian Securities and Investments Commission<sup>a</sup>

Title of regulatory proposal			
Description of regulatory proposal	RIS adequate	RIS published	PIR required
Over-the-Counter Derivatives Transaction Reporting Regim	е		
Specifies the information that must be reported to trade repositories; which entities must report; and rules relating to trade repositories.	Yes	Yes	No
Australian market structure: further proposals			
Outlines ASIC's market rules for crossing system operators and high-frequency trades.	Yes	Yes	No

For decisions made under the June 2010 RIS process.

## Australian Transaction Reports and Analysis Centre<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Proposed reform to strengthen Customer D	Oue Diligenace			
Changes to Australia's anti-money launderin counter-terrorism financing regime	g and n/a	Yes	Yes	No
n/a An options-stage RIS was not required.				

# **Department of Agriculture**<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Regulation for Onion Levy Increase				
To change the levies on onions to provide funds for R&D and marketing	n/a	Yes	Yes	No
Regulation for Mushroom Levy Increase				
To change the levies on mushrooms to provide funds for R&D and marketing	n/a	Yes	Yes	No

n/a An options-stage RIS was not required.

For decisions made under the July 2013 RIS process.

For decisions made under the July 2013 RIS process.

Department of Agriculture<sup>a</sup>

Department of Agriculture		
Title of regulatory proposal  Description of regulatory proposal	RIS status	
Mandatory Code of Conduct for Grain Export Terminals	(Bulk Wheat Exports)	
Examines options for improving access to port terminals for bulk wheat exporters	Published for consultation	
The RIS was prepared for consultation and was subject to an early assessment by the OBPR		
PIR required	No	

For decisions made under the new March 2014 RIS process.

#### **Department of Communications**<sup>a</sup>

Title of regulatory proposal			
Description of regulatory proposed	RIS	RIS	PIR
Description of regulatory proposal	adequate	published	required

#### Cellular Mobile Repeaters: Proposed Amendments to the Radiocommunications Regulations 1993<sup>b</sup>

Restrict the supply of repeaters and prevent their unauthorised use under the Radiocommunications Act 1992.

Yes Yes

No

#### Department of Defence<sup>a</sup>

Department of Defence				
Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Management Arrangements for the Woomera Prohib	ited Area			
Implementation of Non-Defence User Management	n/a	Yes	Yes	No

An options-stage RIS was not required.

Arrangements for the Woomera Prohibited Area

# **Department of Education**<sup>a</sup>

Title of regulatory proposal			
Description of regulatory proposal	RIS adequate	RIS published	PIR required
Australian Education Regulation <sup>b</sup>			
Regulations to prescribe the conditions placed on schools for Commonwealth financial assistance.	No	No	Yes

For decisions made under the June 2010 RIS process.

а For decisions made under the June 2010 RIS process.

This matter was previously reported under the Department of Broadband, Communications and the Digital Economy. However, on 18 September 2013 the Radiocommunications Act 1992 was transferred to the Department of Communications. b

For decisions made under the July 2013 RIS process.

This matter was previously reported under the Department of Education, Employment and Workplace Relations. However, on 18 September 2013 education policy was transferred to the Department of Education.

**Department of Employment**<sup>a</sup>

Department of Employment				
Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Registered Organisations				
Imposes additional statutory obligations on registered organisations.	Yes	Yes	Yes	No
Licensing and other amendments under the Safety, Ro	ehabilitation and (	Compensation	Act 1988	
Allow national employers to become licensees in the Comcare Scheme and be covered by the Commonwealth workers compensation scheme, among other changes.	n/a	Yes	Yes	No
Amendments to the Fair Work Act 2009				
Numerous amendments to the Fair Work Act 2009, including relating to greenfields employment agreements and union right of entry.	Yes	Yes	Yes	No
n/a An options-stage RIS was not required. a For decisions made under the July 2013 RIS process.				

**Department of Employment**<sup>a</sup>

Title of regulatory proposal  Description of regulatory proposal	RIS status	
Revocation of Fair Work Principles and Commonwealth	Cleaning Service Guidelines	
Revocation of Fair Work Principles and Commonwealth Cleaning Service Guidelines	Finalised, published and compliant	
The OBPR has found the Department of Employment to be compliant with the RIS requirements. The RIS was consistent with best practice.		
PIR required	No	

a. For decisions made under the March 2014 RIS process.

Title of regulatory proposal  Description of regulatory proposal	RIS status		
First advance release of the Building Code 2014			
The Building and Construction Industry (Fair and Lawful Building Sites) Code 2014 sets out the expected standards for all building industry participants involved in Commonwealth-funded construction projects.	Finalised, published, compliant and not best practice.		
The agency has assessed that an independent review or other mechanism has undertaken analysis of a regulatory proposal equivalent to a RIS. The OBPR does not assess independent reviews. While the OBPR found the Departme of Employment to be compliant with the RIS requirements, it was not consistent with best practice because the self-assessment of the independent review and agreement to regulatory costs occurred after the final decision.			
PIR required	No		

**Department of the Environment**<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Repeal of the Carbon Tax				
Repeals the carbon tax.	Yes	Yes	Yes	No
Shortages of Some Species of Greenhouse Gases				
Exempts importers of synthetic greenhouse gases from paying the carbon tax equivalent under certain circumstances.	n/a	Yes	Yes	No

n/a An options-stage RIS was not required.

For decisions made under the July 2013 RIS process.

## Department of the Environment<sup>a</sup>

Title of regulatory proposal  Description of regulatory proposal	RIS status	
Emissions Reduction Fund White Paper		
Establish an Emissions Reduction Fund to help reduce Australia's greenhouse gas emissions	Published for consultation	
The White Paper was certified by the Department of the Environment as a RIS for initial decisions on the Emissions Reduction Fund. The OBPR does not assess independent reviews.		
PIR required	No	

a. For decisions made under the March 2014 RIS process.

#### Department of Foreign Affairs and Trade<sup>a</sup>

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Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Korea-Australia Free Trade Agreement				
This RIS relates to the Korea-Australia Free Trade Agreement (KAFTA). Negotiations on KAFTA with the Republic of Korea which were launched in March 2009 and concluded on 5 December 2013.	n/a	Yes	Yes <sup>b</sup>	No

n/a An options-stage RIS was not required.

#### **Department of Health**<sup>a</sup>

Title of regulatory proposal			
Description of regulatory proposal	RIS adequate	RIS published	PIR required
Premarket Scrutiny of Medical Devices <sup>b</sup>			
Changes to the premarket assessment requirements for medical devices.	Yes	Yes	No

For decisions made under the June 2010 RIS process.

#### **Department of Health**<sup>a</sup>

Department of Flediti				
Title of regulatory proposal  Description of regulatory proposal	RIS status			
Protection of images and indicia for major sporting even	its			
Extending trademark and copyright protections for major sporting events organisers to reduce ambush marketing.	Finalised, published and compliant			
The OBPR has found Health to be compliant with the RIS requirements. The RIS was consistent with best practice.				
PIR required	No			

a For decisions made under the new March 2014 RIS process.

a For decisions made under the July 2013 RIS process.

b The details-stage RIS will be tabled in Parliament with the National Interest Analysis and the draft treaty text.

b This matter was previously reported under the Department of Health and Ageing. However, on 18 September 2013 the regulation of therapeutic goods was transferred to the Department of Health.

# **Department of Immigration and Border Protection**<sup>a</sup>

Title of regulatory proposal  Description of regulatory proposal	RIS status		
Migration Amendment (Offshore Resource Activity) Repo	eal Bill 2014		
Repeal intended requirements to prescribe relevant visa for non-citizens employed in the offshore resources industry.	Finalised, published and compliant		
The OBPR has found Immigration to be compliant with the RIS requirements. The RIS outlines that an options-stage RIS was not prepared as required under the previous RIS arrangements. Consequently, while compliant, the Department has not followed best practice.			
PIR required	No		

a For decisions made under the new March 2014 RIS process.

## **Department of Industry**<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Streamlining Offshore Petroleum and Greenhouse Gas Activities				
Allows environmental approvals to be assessed solely by NOPSEMA.	n/a	Yes	Yes	No
Export of Patented Pharmaceuticals to Countries Experiencing a Health Crisis				
Grants licence to export patented pharmaceuticals during a health crisis.	n/a	Yes	Yes	No

n/a An options-stage RIS was not required.

## **Department of Industry**<sup>a</sup>

Department or i	i iuusii y	
Title of regulatory	proposal	
Description of regula	atory proposal	RIS status
Removal of Austra	ian Industry Participation Officers	
employ an Australia	ement for large construction projects to n Industry Opportunity Officer to nt from Australian suppliers	Finalised, published and compliant
The OBPR has found the Department of Industry to be compliant with the RIS requirements		
PIR required		No

a For decisions made under the new March 2014 RIS process.

Title of regulatory proposal  Description of regulatory proposal	RIS status		
Repeal of the Energy Efficiency Opportunities Program			
Repeals the Energy Efficiency Opportunities Program	Finalised, published and compliant		
The OBPR has found the Department to be compliant with the RIS requirements. The RIS was consistent with best practice.			
PIR required	No		

a For decisions made under the July 2013 RIS process.

## Department of Infrastructure and Regional Development<sup>a</sup>

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Title of regulatory proposal				
Description of regulatory proposal	RIS	RIS	PIR	
Description of regulatory proposal	adequate	published	required	
National Heavy Vehicle Braking Strategy Phase I – Antilock Braking Systems <sup>b</sup>				
Aims to improve heavy vehicle braking safety performance.	Yes	Yes	No	

For decisions made under the June 2010 RIS process.

#### Department of Infrastructure and Regional Development<sup>a</sup>

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Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Electronic Stability Control for Light Commercial Vehi	icles			
Electronic Stability Control for Light Commercial Vehicles.	n/a	Yes	Yes	No
Brake Assist Systems				
Mandating Brake Assist Systems for passenger cars, passenger vans, four-wheel drives and light commercial vehicles.	n/a	Yes	Yes	No
Qantas Sale Amendment Bill 2014				
Remove the foreign ownership and other restrictions that apply to Qantas but do not apply to other airlines based in Australia.	n/a	E/c	E/c	Yes
n/a An options-stage RIS was not required.				

# Department of Social Services<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Remove mandatory employer role				
Removing the mandatory requirement for employers to administer parental leave payments to eligible employees.	n/a	Yes	Yes	No
Regulation of aged care accommodation payments				
Instituting the process by which accommodation payments in residential aged care are approved.	n/a	Yes	Yes	No

This matter was previously reported under the Department of Infrastructure and Transport. However, on 18 September 2013 the Motor Vehicle Standards Act 1989 was transferred to the Department of Infrastructure and Regional Development.

For decisions made under the July 2013 RIS process.

E/c Exceptional circumstances were granted by the Prime Minister.

#### Repeal of the Australian Charities and Not-for-profits Commission

The first stage of a two-stage process to repeal the ACNC and determine replacement arrangements.

Yes

Yes

No

An options-stage RIS was not required. n/a

For decisions made under the July 2013 RIS process.

#### Private Health Insurance Administration Council<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Reform of Capital Adequacy and Solvency	Standards			
Changes to the capital adequacy and solven standards for private health insurers.	cy n/a	Yes	Yes	No
n/a An options-stage RIS was not required				

For decisions made under the July 2013 RIS process.

#### Reserve Bank of Australia<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Payment Card Access Regimes				
Allows non-ADI entities to participate in Mastercard and Visa credit and debit schemes	Yes	Yes	Yes	No
and visa credit and debit scrientes				

For decisions made under the July 2013 RIS process.

#### Therapeutic Goods Administration<sup>a</sup>

Title of regulatory proposal				
Description of regulatory proposal	RIS	RIS	PIR	
2 Soon place of Segulation, proposed	adequate	published	required	
Changes to the required advisory statement for medicine labels				
Changes to the required advisory statement for medicine labels for non-prescription medicines	Yes	Yes	No	

For decisions made under the July 2013 RIS process.

# **Treasury**<sup>a</sup>

reasary				
Title of regulatory proposal				
Description of regulatory proposal	Options-stage RIS compliant, as assessed by agency	Details- stage RIS compliant	Details- stage RIS published	PIR required
Minerals Resource Rent Tax and Associated Measures	3			
Repeal of the Minerals Resource Rent Tax and Associated Measures.	n/a	Yes	Yes	No
Future of Financial Advice Amendments				
Amendments aimed at reducing the complexity and compliance costs associated with the Future of Financial Advice (FOFA) reforms.	Yes	Yes	Yes	Yes
Proposed Changes to Franchising Regulation				
Amends the Franchising Code of Conduct	n/a	Yes	Yes	No
Streamlining Disclosure and Liability Requirements				
Reduces the disclosure and liability requirements associated with issuing simple retail corporate bonds	n/a	Yes	Yes	No

n/a An options-stage RIS was not required.

## **Treasurv**<sup>a</sup>

rreasury			
Title of regulatory proposal  Description of regulatory proposal	RIS status		
Implementation of the United States Foreign Account	Tax Compliance Act in Australia		
The intergovernmental agreement seeks to limit the compliance costs and other impacts for Australian financial institutions associated with complying with US tax information reporting requirements	Finalised, published and compliant		
The OBPR has found the agency to be compliant with the RIS requirements. The RIS was not consistent with best practice because, having regard to the significance of the proposal and the scale of the impacts, the RIS did not contain a commensurate level of detailed analysis. In particular, the RIS would have benefitted from a more detailed analysis of distributional impacts for the financial sector, and the mechanisms through which these impacts could be transmitted throughout the economy. This would have informed a discussion of the consumer or investor impacts of each option.			
PIR required	Yes within 5 years (significant proposal)		

a For decisions made under the new March 2014 RIS process.

Title of regulatory proposal	
Description of regulatory proposal	RIS status
Changing the Schedule for Increasing the Superannuation Guarantee	
Assesses options for delaying the increases in the rate of the Superannuation Guarantee	Finalised, published and compliant
The OBPR has found Treasury to be compliant with the RIS requirements. However, the RIS was assessed by the OBPR as not consistent with best practice. In particular, the RIS would have benefitted from a more balanced discussion of the business certainty outcomes under the status quo; as well as the uncertainties associated with household incomes and savings behaviours resulting from the changes.	
PIR required	No

a. For decisions made under the July 2013 RIS process.